# Scattering Data Acquisition in Microwave Imaging using Compressive Sampling Techniques

## G. Oliveri, N. Anselmi, M. Salucci, L. Poli, and A. Massa

2024/09/13

## Contents

1	Mat	hematical formulation	3
	1.1	Microwave Imaging - Mathematical Background	3
	1.2	Compressive Sensing	3
	1.3	Restricted Isometry Constant	3
	1.4	Optimization Strategy	4
2	Nun	nerical results, Part #1: Optimization of the Measurements Setup	5
	2.1	Measurement Setup Optimization via PSO-based RIO Minimization	5

## **1** Mathematical formulation

#### 1.1 Microwave Imaging - Mathematical Background

Let us consider an inaccessible investigation domain D irradiated by a set of incident transverse-magnetic planes  $E_{inc}^{v}(\mathbf{r}^{v})$ , v = 1, ..., V, impinging from the angular directions  $\theta^{v} = \frac{2\pi}{V}(v-1)$ , being V the number of views. In this working scenario, the scattered field  $E_{scatt}^{v}(\mathbf{r}_{m}^{v})$ , m = 1, ..., M, is supposed to be measured through a set of M sensors equally displaced on a circular observation domain O, external to the investigation domain  $(D \cap O = 0)$ , having radius  $\rho$ . The exact location of the sensors are identified by the position vector  $\mathbf{r}_{m}^{v} = (\rho \cos \theta_{m}^{v} \sin \theta_{m}^{v})$ , being  $\theta_{m}^{v} = \theta^{v} + \frac{2\pi}{M}(m-1)$ . This scattered field is known to be dependent on the equivalent currents  $J_{eq}^{v}(\mathbf{r}) = E_{tot}^{v}(\mathbf{r}^{v}) \tau(\mathbf{r})$ , generated in the support of the unknown scatterers placed into the domain D, according to the *data equation* 

$$E_{scatt}^{v}\left(\mathbf{r}_{m}^{v}\right) = \int_{D_{inv}} G\left(\mathbf{r}_{m}^{v}/\mathbf{r}'\right) J_{eq}^{v}\left(\mathbf{r}'\right) , \ \mathbf{r}_{m}^{v} \in O$$

$$\tag{1}$$

where  $G(\mathbf{r}_m^v/\mathbf{r}')$  is the Green's function in the free space and  $E_{tot}^v(\mathbf{r}^v)$  is the total electric field. The material properties of the investigation domain D in terms of relative dielectric permittivity  $\varepsilon_r(\mathbf{r})$  and electric conductivity  $\sigma(\mathbf{r})$  are described by means of the object function  $\tau(\mathbf{r}) = \varepsilon_r(\mathbf{r}) - \varepsilon_0 - \frac{\sigma(\mathbf{r})}{2\pi f \varepsilon_0}$ , being f the frequency of the TM plane wave.

In matrix form (after applying the point matching method of moments) eq. (1) can be written as

$$\mathbf{E}_{scatt}^{v} = \mathbf{G}\mathbf{J}_{eq} \quad (\mathbf{y} = \mathbf{A}\mathbf{x}). \tag{2}$$

#### 1.2 Compressive Sensing

Compressive sensing is a signal processing technique for efficiently acquiring and reconstructing signals by finding solutions to undetermined linear systems of equations.

The system of equations y = Ax, where the solution is found for x, is said to be undetermined. An undetermined system has more unknowns than equations and generally has an infinite number of solution.

In compressive sensing theory there are two main conditions under which recovery is possible:

- the signal x is required to be sparse;
- incoherence of the matrix A, applied through the isometric property, which is sufficient for sparse signals.

Unfortunately not all undetermined systems of equations have a sparse solution. However, if there is a sparse solution, the compressive sensing theory allows for the recovery of it.

#### **1.3 Restricted Isometry Constant**

The Restricted isometry constant (RIC) characterizes a matrix (based of its coherence), which operates on sparse vectors. In linear algebra, the coherence of a matrix is used to measure the ability of a sub-optimal algorithm to correctly identify the true representation of a sparse signal. We define the RIC as  $\varepsilon$ . Our goal, in order to find a good solution, is to formulate the problem in such a way that, for matrix **A**,  $\varepsilon < 1$ . Unfortunately the computation of  $\varepsilon$  is a strongly NP-hard problem. For this reason we try an upper bound estimation of  $\varepsilon$ , namely  $\varepsilon^*$ , such that  $\varepsilon^* \ge \varepsilon$ .

To compute  $\varepsilon^*$  for matrix **A** first we compute  $\gamma$ , the coherence of matrix **A**. The coherence of a matrix is defined as the maximum absolute value of the cross-correlation between the columns of **A**. Let  $\mathbf{a}_1, \ldots, \mathbf{a}_C$  be the columns of the matrix **A**, where *C* is the number of columns of **A** 

$$\gamma = \max_{1 \le i \ne j \le C} \left\{ \frac{\mathbf{a}_i^t \mathbf{a}_j}{\|\mathbf{a}_i\| \|\mathbf{a}_j\|} \right\}$$

the quantities  $\varepsilon^{\rm U}$  and  $\varepsilon^{\rm L}$  can be computed as

$$\varepsilon^{\mathrm{U}} = \max_{i} \left\{ \left\| \mathbf{a}_{i} \right\|^{2} \right\} \cdot \left[ 1 + \gamma \left( s - 1 \right) \right] - 1$$

$$\varepsilon^{\mathrm{L}} = 1 - \max_{i} \left\{ \left\| \mathbf{a}_{i} \right\|^{2} \right\} \cdot \left[ \frac{\min_{i} \left\{ \left\| \mathbf{a}_{i} \right\|^{2} \right\}}{\max_{i} \left\{ \left\| \mathbf{a}_{i} \right\|^{2} \right\}} - \gamma \left( s - 1 \right) \right]$$

where s is the sparsity value, finally  $\varepsilon^*$  is

$$\varepsilon^* = \max\left\{\varepsilon^{\mathrm{U}}, \varepsilon^{\mathrm{L}}\right\}$$

#### **1.4 Optimization Strategy**

The goal of the present work is to propose a method able to compute the minimum number of antennas (number of rows of the observation matrix M) needed in an imaging system such that the investigated image is fully recoverable. Toward this aim, the positions of the antenna elements placed around the investigation domain are optimized with a Particle Swarm Optimization in order to obtain an observation matrix  $\mathbf{A}$  with a low coherence, and more precisely in order to minimize the following cost function:

$$\Phi = RIO = \gamma(2S - 1) \tag{3}$$

The degrees of freedom are then:

- The number of measurement points, M;
- The measurement points positions:  $\theta_m$ , for m = 1, ..., M.

## 2 Numerical results, Part #1: Optimization of the Measurements Setup

#### 2.1 Measurement Setup Optimization via PSO-based RIO Minimization

OBJECTIVE: The goal of this section is twofold: (*i*) first, the section is aimed to study the behavior of the RIO when changing the number of elements for both the non-uniform optimized measurement setup and the one where the sensors are uniformly-spaced on the circular investigation domain; (*ii*) then, the section is aimed to study the reconstruction errors as a function of the number of elements considering both the non-uniform optimized and the uniform measurement setup, also changing the scatterers' distribution within the investigation domain (and thus the sparsity factor S).

#### **Test Case Description**

#### **Direct solver:**

- Side of the investigation domain:  $L = 3.0\lambda$
- Cubic domain divided in  $\sqrt{D} \times \sqrt{D}$  cells
- Number of cells for the direct solver: D = 1600 (discretization =  $\lambda/10$ )

#### Investigation domain:

- Cubic domain divided in  $\sqrt{N} \times \sqrt{N}$  cells
- Number of cells for the inversion: N = 324

#### Measurement domain:

- Total number of measurements:  $M \in [2:38]$
- Measurement points placed on circles of radius  $\rho = 3.0\lambda$

#### Sources:

- Plane waves
- Number of views: V = 1;  $\theta_{inc}^v = 0^\circ$
- Amplitude: A = 1.0
- Frequency:  $F = 300 \text{ MHz} (\lambda = 1)$

#### **Background:**

- $\varepsilon_r = 1.0$
- $\sigma = 0$  [S/m]
- Scatterers size/sparsity factor:  $S \in [1:15]$

### **PSO parameters:**

- Swarm dimension: P = M;
- Maximum iteration number:  $I_{\text{max}} = 1000;$
- Inertial weight: 0.4;
- $c_1 = 2.0; c_2 = 2.0$





Figure 1: (a)(c) Optimized vs. reference (with uniformly-spaced points) measurement setup and (b)(d) fitness function  $(\Phi)$  behaviour vs. iteration index for (a)(b) M = 2, (c)(d) M = 3.





Figure 2: (a)(c)(e) Optimized vs. reference (with uniformly-spaced points) measurement setup and (b)(d)(f) fitness function ( $\Phi$ ) behaviour vs. iteration index for (a)(b) M = 5, (c)(d) M = 6 and (e)(f) M = 9.

**Optimized Solutions:** M = 15, 27, 38



Figure 3: (a)(c)(e) Optimized vs. reference (with uniformly-spaced points) measurement setup and (b)(d)(f) fitness function ( $\Phi$ ) behaviour vs. iteration index for (a)(b) M = 15, (c)(d) M = 27 and (e)(f) M = 38.

More information on the topics of this document can be found in the following list of references.

### References

- M. Salucci, L. Poli, and G. Oliveri, "Full-vectorial 3D microwave imaging of sparse scatterers through a multi-task Bayesian compressive sensing approach," *Journal of Imaging*, vol. 5, no. 1, pp. 1-24, Jan. 2019.
- [2] M. Salucci, A. Gelmini, L. Poli, G. Oliveri, and A. Massa, "Progressive compressive sensing for exploiting frequency-diversity in GPR imaging," *J. Electromagn. Waves Appl. J*, vol. 32, no. 9, pp. 1164-1193, 2018.
- [3] G. Oliveri, P.-P. Ding, and L. Poli "3D crack detection in anisotropic layered media through a sparseness-regularized solver,"IEEE Antennas Wireless Propag. Lett., vol. 14, pp. 1031-1034, 2015.
- [4] Y. Zhong, F. Zardi, M. Salucci, G. Oliveri, and A. Massa, "Multiscaling differential contraction integral method for inverse scattering problems with inhomogeneous media," *IEEE Trans. Microw. Theory Tech.*, vol. 71, no. 9, pp. 4064-4079, Sep. 2023.
- [5] X. Ye, F. Zardi, M. Salucci, and A. Massa, "Multiresolution subspace-based optimization method for the retrieval of 2-D perfect electric conductors," *IEEE Trans. Microw. Theory Tech.*, vol. 71, no. 4, pp. 1732-1744, Apr. 2023.
- [6] G. Oliveri, N. Anselmi, M. Salucci, L. Poli, and A. Massa, "Compressive sampling-based scattering data acquisition in microwave imaging," *J. Electromagn. Waves Appl. J*, vol. 37, no. 5, 693-729, Mar. 2023.
- [7] M. Salucci, L. Poli, P. Rocca, and A. Massa, "Learned global optimization for inverse scattering problems Matching global search with computational efficiency," *IEEE Trans. Antennas Propag.*, vol. 70, no. 8, pp. 6240-6255, Aug. 2022.
- [8] Y. Zhong, M. Salucci, K. Xu, A. Polo, and A. Massa, "A multi-resolution contraction integral equation method for solving highly non-linear inverse scattering problems," *IEEE Trans. Microw. Theory Tech.*, vol. 68, no. 4, pp. 1234-1247, Apr. 2020.
- [9] G. Oliveri, L. Poli, N. Anselmi, M. Salucci, and A. Massa, "Compressive sensing-based Born iterative method for tomographic imaging," *IEEE Tran. Microw. Theory Techn.*, vol. 67, no. 5, pp. 1753-1765, May 2019.
- [10] N. Anselmi, L. Poli, G. Oliveri, and A. Massa, "Iterative multi-resolution bayesian CS for microwave imaging," *IEEE Trans. Antennas Propag.*, vol. 66, no. 7, pp. 3665-3677, Jul. 2018.
- [11] G. Oliveri, M. Salucci, and N. Anselmi, "Tomographic imaging of sparse low-contrast targets in harsh environments through matrix completion," *IEEE Trans. Microw. Theory Tech.*, vol. 66, no. 6, pp. 2714-2730, Jun. 2018.
- [12] G. Oliveri, M. Salucci, N. Anselmi, and A. Massa, "Compressive sensing as applied to inverse problems for imaging: theory, applications, current trends, and open challenges," *IEEE Antennas Propag. Mag.*, vol. 59, no. 5, pp. 34-46, Oct. 2017.

- [13] N. Anselmi, G. Oliveri, M. A. Hannan, M. Salucci, and A. Massa, "Color compressive sensing imaging of arbitraryshaped scatterers," *IEEE Trans. Microw. Theory Techn.*, vol. 65, no. 6, pp. 1986-1999, Jun. 2017.
- [14] T. Moriyama, M. Salucci, M. Tanaka, and T. Takenaka, "Image reconstruction from total electric field data with no information on the incident field," *J. Electromagn. Waves Appl. J*, vol. 30, no. 9, pp. 1162-1170, 2016.
- [15] N. Anselmi, G. Oliveri, M. Salucci, and A. Massa, "Wavelet-based compressive imaging of sparse targets," *IEEE Trans. Antennas Propag.*, vol. 63, no. 11, pp. 4889-4900, Nov. 2015.
- [16] X. Ye, L. Poli, G. Oliveri, Y. Zhong, K. Agarwal, A. Massa, and X. Chen, "Multi-resolution subspace-based optimization method for solving three-dimensional inverse scattering problems," *J. Opt. Soc. America A*, vol. 32, no. 11, pp. 2218-2226, Nov. 2015.
- [17] G. Oliveri, N. Anselmi, and A. Massa, "Compressive sensing imaging of non-sparse 2D scatterers by a total-variation approach within the Born approximation," *IEEE Trans. Antennas Propag.*, vol. 62, no. 10, pp. 5157-5170, Oct. 2014.
- [18] T. Moriyama, G. Oliveri, M. Salucci, and T. Takenaka, "A multi-scaling forward-backward time-stepping method for microwave imaging," *IEICE Electronics Express*, vol. 11, no. 16, pp. 1-12, Aug. 2014.
- [19] L. Poli, G. Oliveri, P.-P. Ding, T. Moriyama, and A. Massa, "Multifrequency Bayesian compressive sensing methods for microwave imaging," J. Opt. Soc. America A, vol. 31, no. 11, pp. 2415-2428, 2014.
- [20] L. Poli, G. Oliveri, and A. Massa, "Imaging sparse metallic cylinders through a Local Shape Function Bayesian Compressive Sensing approach," J. Opt. Soc. America A, vol. 30, no. 6, pp. 1261-1272, 2013.
- [21] L. Poli, G. Oliveri, F. Viani, and A. Massa, "MT-BCS-based microwave imaging approach through minimum-norm current expansion," *IEEE Trans. Antennas Propag.*, vol. 61, no. 9, pp. 4722-4732, Sep. 2013.
- [22] F. Viani, L. Poli, G. Oliveri, F. Robol, and A. Massa, "Sparse scatterers imaging through approximated multitask compressive sensing strategies," *Microwave Opt. Technol. Lett.*, vol. 55, no. 7, pp. 1553-1558, Jul. 2013.
- [23] L. Poli, G. Oliveri, P. Rocca, and A. Massa, "Bayesian compressive sensing approaches for the reconstruction of two-dimensional sparse scatterers under TE illumination," *IEEE Trans. Geosci. Remote Sensing*, vol. 51, no. 5, pp. 2920-2936, May 2013.
- [24] L. Poli, G. Oliveri, and A. Massa, "Microwave imaging within the first-order Born approximation by means of the contrast-field Bayesian compressive sensing," *IEEE Trans. Antennas Propag.*, vol. 60, no. 6, pp. 2865-2879, Jun. 2012.
- [25] G. Oliveri, A. Randazzo, M. Pastorino, and A. Massa, "Electromagnetic imaging within the contrast-source formulation by means of the multiscaling inexact Newton method," *J. Opt. Soc. America A*, vol. 29, no. 6, pp. 945-958, 2012.
- [26] G. Oliveri, L. Poli, P. Rocca, and A. Massa, "Bayesian compressive optical imaging within the Rytov approximation," *Optics Letters*, vol. 37, no. 10, pp. 1760-1762, 2012.

- [27] G. Oliveri, P. Rocca, and A. Massa, "A Bayesian compressive sampling-based inversion for imaging sparse scatterers," *IEEE Trans. Geosci. Remote Sensing*, vol. 49, no. 10, pp. 3993-4006, Oct. 2011.
- [28] G. Oliveri, Y. Zhong, X. Chen, and A. Massa, "Multi-resolution subspace-based optimization method for inverse scattering," J. Opt. Soc. America A, vol. 28, no. 10, pp. 2057-2069, Oct. 2011.
- [29] A. Randazzo, G. Oliveri, A. Massa, and M. Pastorino, "Electromagnetic inversion with the multiscaling inexact-Newton method - Experimental validation," *Microwave Opt. Technol. Lett.*, vol. 53, no. 12, pp. 2834-2838, Dec. 2011.
- [30] M. Benedetti, D. Lesselier, M. Lambert, and A. Massa, "Multiple shapes reconstruction by means of multi-region level sets," *IEEE Trans. Geosci. Remote Sensing*, vol. 48, no. 5, pp. 2330-2342, May 2010.
- [31] M. Benedetti, D. Lesselier, M. Lambert, and A. Massa, "A multi-resolution technique based on shape optimization for the reconstruction of homogeneous dielectric objects," *Inverse Problems*, vol. 25, no. 1, pp. 1-26, Jan. 2009.